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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/302,578/6

Filing Date

April 30, 1999

First Named Inventor

DAVID R. HEMBREE

Group Art Unit

2829

Examiner Name

NGUYEN, T.

Total Number of Pages in This Submission

Attorney Docket Number

96-876.1

OFFICE OF PETITIONS

## ENCLOSURES (check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment / Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Response to Missing Parts/Incomplete Application

☐

Response to Missing Parts under 37 CFR 1.52 or 1.53

☐

Assignment Papers (for an Application)

☐

Drawing(s)

☐

Licensing-related Papers

☒

Petition

☐

Petition to Convert to a Provisional Application

☐

Power of Attorney, Revocation Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s)

☐

After Allowance Communication to Group

☐

Appeal Communication to Board of Appeals and Interferences

☐

Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify below):

Amendment filed on 5/14/02  
Fax transmittal dated 7/25/02; return receipt postcard

Remarks

Enclosed is a Petition to Withdraw Holding of Abandonment dated November 6, 2002.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Stephen A. Gratton  
THE LAW OFFICE OF STEPHEN A. GRATTON

Signature

Date

November 18, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 11/18/2002

Typed or printed name

Stephen A. Gratton

Signature

Date

November 18, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

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TC 1700

NOV 29 2002

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#13

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DAVID R. HEMBREE  
SALMAN AKRAM  
WARREN M. FARNWORTH  
ALAN G. WOOD  
JAMES M. WARK  
DEREK GOCHNOUR

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OFFICE OF PETITIONS  
ART UNIT: 2829

Petition  
to  
W/D  
Abandonment  
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NOV 29 2002  
TC 1700

Serial No.: 09/302,576

Filing Date: April 30, 1999

Examiner: Nguyen, T.

For: HYBRID INTERCONNECT AND SYSTEM  
FOR TESTING SEMICONDUCTOR DICE

Attorney Docket No. 96-876.1

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TC 2800 MAIL ROOM

**PETITION TO WITHDRAW HOLDING OF ABANDONMENT UNDER 37  
CFR 1.181(A)  
NOVEMBER 18, 2002**

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

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OFFICE OF PETITIONS

Sir:

A Notice Of Abandonment (Paper #12) was mailed by the Patent Office to the Applicant on the November 6, 2002. A copy of the Notice Of Abandonment is attached to this Petition. This Petition is being filed within two months of the Notice of Abandonment as required by 37 CFR 1.181(f).

The Notice Of Abandonment states that the application has been abandoned in view of Applicant's failure to timely reply to the Office Action dated February 14, 2002, having a statutory period for response set to expire on May 14, 2002. However, an Amendment dated May 14, 2002 was mailed on May 14, 2002 in response to the Office Action of February 14,

2002. In addition, at the request of the Examiner, a copy of the Amendment dated May 14, 2002 was facsimile transmitted to the Examiner on July 25, 2002.

As proof of the above statements, attached to this Petition are the following:

1. A copy of the Amendment dated May 14, 2002 which was filed in response to the Office Action dated February 14, 2002. The Amendment included a "Certificate Of Mailing Under 37 CFR §1.8" signed by the Attorney For Applicant, Stephen A. Gratton, indicating the Amendment was deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Box Amendment (Fee), Washington D.C. 20231 on the 28th day of May 2002.

2. A copy of a "Transmittal Form" which was filed with the Amendment dated May 14, 2002, and included a "Certificate of Mailing" signed by the Attorney For Applicant, Stephen A. Gratton, indicating the Amendment dated May 14, 2002 was deposited with the United States Postal Service as first class mail on May 14, 2002.

3. A copy of a "Fee Transmittal for FY 2002" which was filed with the Amendment dated May 14, 2002.

4. A copy of the return receipt postcard which was included with the Amendment dated May 14, 2002, and returned by the Patent Office indicating a date of receipt of June 5, 2002.

5. A copy of a "FAX TRANSMITTAL" indicating that the Attorney For Applicant, Stephen A. Gratton had facsimile transmitted a copy of the Amendment dated May 14, 2002 to Examiner Nguyen on July 25, 2002.

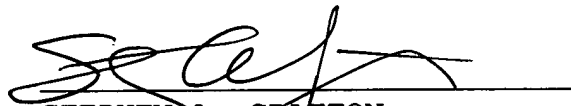
6. A copy of the Information Disclosure statement dated May 14, 2002 which was submitted with the Amendment dated May 14, 2002, and a copy of a canceled check in the

amount of \$180, which was submitted as the fee for the Information Disclosure Statement.

In view of the foregoing Applicants request that the holding of abandonment be withdrawn, and that the Amendment and the IDS dated May 14, 2002 be entered. Please deduct any required fee(s) or credit any overpayment to Deposit Account Number 07-1857.

DATED this 18th day of November, 2002.

Respectfully submitted:

  
STEPHEN A. GRATTON  
Registration No. 28,418  
Attorney for Applicants

2764 S. Braun Way  
Lakewood, CO . 80228  
Telephone: (303) 989-6353  
FAX (303) 989-6538

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. on this 18th day of November, 2002.

November 18, 2002  
Date of Signature

  
Stephen A. Gratton  
Attorney for Applicants

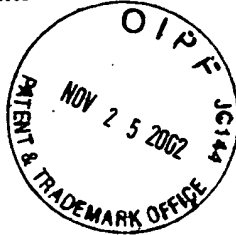


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/302,576	04/30/1999	DAVID R. HEMBREE	96-876.1	7076

7590 11/06/2002  
STEPHEN A GRATTON  
2764 SOUTH BRAUN WAY  
LAKEWOOD, CO 80228



EXAMINER

NGUYEN, TRUNG Q

ART UNIT PAPER NUMBER

2829

DATE MAILED: 11/06/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

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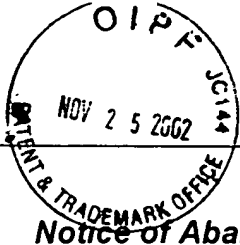
TC 1700

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OFFICE OF PETITIONS

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# Notice of Abandonment

Application No.

09/302,576

Examiner

Trung Q Nguyen

Applicant(s)

HEMBREE ET AL.

Art Unit

2829

NOV 29 2002  
TC 1700

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

This application is abandoned in view of:

1. ☒ Applicant's failure to timely file a proper reply to the Office letter mailed on 14 February 2002.
  - (a) ☐ A reply was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply (including a total extension of time of \_\_\_\_\_ month(s)) which expired on \_\_\_\_\_.
  - (b) ☐ A proposed reply was received on \_\_\_\_\_, but it does not constitute a proper reply under 37 CFR 1.113 (a) to the final rejection.  
(A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114).
  - (c) ☐ A reply was received on \_\_\_\_\_ but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below).
  - (d) ☒ No reply has been received.
2. ☐ Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85).
  - (a) ☐ The issue fee and publication fee, if applicable, was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85).
  - (b) ☐ The submitted fee of \$\_\_\_\_\_ is insufficient. A balance of \$\_\_\_\_\_ is due.  
The issue fee required by 37 CFR 1.18 is \$\_\_\_\_\_. The publication fee, if required by 37 CFR 1.18(d), is \$\_\_\_\_\_.
  - (c) ☐ The issue fee and publication fee, if applicable, has not been received.
3. ☐ Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37).
  - (a) ☐ Proposed corrected drawings were received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply.
  - (b) ☐ No corrected drawings have been received.
4. ☐ The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.
5. ☐ The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application.
6. ☐ The decision by the Board of Patent Appeals and Interference rendered on \_\_\_\_\_ and because the period for seeking court review of the decision has expired and there are no allowed claims.
7. ☒ The reason(s) below:

The Examiner called the Attorney of the instant application 4 times over the past 4 weeks, last time being on 10/30/02, regarding a proper reply to the office letter mailed on 02/14/02. The examiner left several messages but no reply has been received.

  
KAMAND CUNEO  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2800

Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.

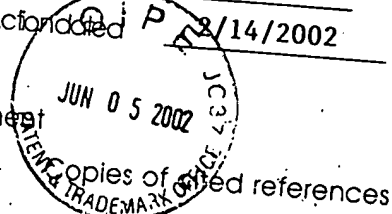


Docket No. 96-876.1 Serial No. 09/302,576  
Date of Deposit: May 14, 2002

The date stamp of the Patent Office hereon may be considered as the date on which papers indicated below were received.

- ☒ Transmittal Form
- ☒ Fee Transmittal Form
- ☒ Amendment/Response to the Office Action dated 5/14/2002
- ☐ A Petition for Extension of Time
- ☒ An Information Disclosure Statement
- ☒ PRO Form 1449
- ☐ Drawings
- ☐ Other Enclosures: \_\_\_\_\_

Check(s) \$180 #1819



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Stephen A. Gratton  
2764 South Braunn Way  
Lakewood, CO 80228

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STEPHEN A. GRATTON  
ATTORNEY AT LAW  
2764 South Braun Way  
Lakewood, CO 80228  
Telephone: 303 989 6353  
FAX: 303 989 6538

**FAX Transmittal**

**Date:** July 25, 2002

**Pages:** 16 (including this one)

<b>TO:</b>	EXAMINER NGUYEN GROUP 2829
<b>FAX:</b>	(703) 746-4455
<b>FROM:</b>	Steve Gratton
<b>FAX:</b>	(303) 989 6538

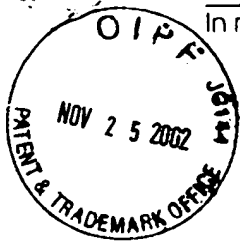
**Re:** 09/302,576  
Attorney Docket No.: 96-876.1

EXAMINER NGUYEN  
SEE ATTACHED AMENDMENT FILED MAY 14, 2002.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

DAVID R. HEMBREE  
SALMAN AKRAM  
WARREN M. FARNWORTH  
ALAN G. WOOD  
JAMES M. WARK  
DEREK GOCHNOUR

Serial No.: 09/302,576

Art Unit: 2829

Filing Date: April 30, 1999

Examiner: Nguyen, T.

For: HYBRID INTERCONNECT AND SYSTEM FOR  
TESTING SEMICONDUCTOR DICE

Attorney Docket No. 96-876.1

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TC 1700

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

May 14, 2002

COPY OF PAPER # 11

Assistant Commissioner of Patents  
BOX AMENDMENT (FEE)  
Washington, DC 20231

Dear Sir:

The citations listed on the accompanying form PTO/SB/08, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §§1.56, 1.97 and 1.98.

This Information Disclosure Statement under 37 CFR §§1.56, 1.97 and 1.98 is not to be construed a representation that a search has been made, that additional material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art under 35 USC §102.

It is requested that the above citations be made of record, if appropriate, in the prosecution of this application.

Respectfully submitted:

Stephen A. Gratton, Registration No. 28,418  
Attorney for Applicants

2764 South Braun Way  
Lakewood, CO 80228  
Telephone: (303) 989-6353

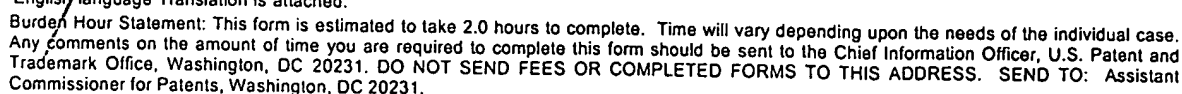
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May 14, 2002  
Date of Signature /

Stephen A. Gratton  
Stephen A. Gratton, Attorney for Applicants





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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/302,576
Filing Date	April 30, 1999
First Named Inventor	HEMBREE et al.
Group Art Unit	2829
Examiner Name	NGUYEN, T.
Attorney Docket Number	96-876.1

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☒ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_
- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
Return receipt postcard

Remarks  
Enclosed for filing is an Amendment in response to the Office Action dated February 14, 2002, having a statutory period for response set to expire on May 14, 2002.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Stephen A. Gratton THE LAW OFFICE OF STEPHEN A. GRATTON
Signature	
Date	May 14, 2002

## CERTIFICATE OF MAILING

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Typed or printed name	Stephen A. Gratton
Signature	
Date	May 14, 2002

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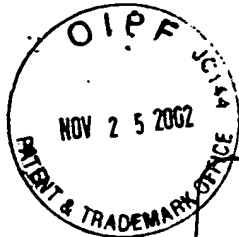
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# FEE TRANSMITTAL

for FY 2002

Patent fees are subject to annual revision

☐ Applicant claims small entity status See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$)	180.00
-------------------------	------	--------

Complete if Known	
Application Number	09/302,576
Filing Date	April 30, 1999
First Named Inventor	HEMBREE et al.
Examiner Name	NGUYEN, T.
Group Art Unit	2829
Attorney Docket No.	96-876.1

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number: 07-1857

Deposit Account Name: Stephen A. Gratton

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below except for the filing fee to the appropiate deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	140	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	140	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from below Fee Paid

Total Claims: 20\*\* = 20\*\* X =

Independent Claims: 3\*\* = 3\*\* X =

Multiple Dependent: =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
102	15	203	9	Claims in excess of 20	
103	64	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
105	64	209	42	** Reissue independent claims over original patent	
105	14	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

\*\* Number previously paid if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for a <i>parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

180

SUBMITTED BY

Name (Print)	Stephen A. Gratton	Registration No. (Attorney/Agent)	28,418	Telephone	303 989 6353
Signature		Date	May 14, 2002		

Complete (if applicable)

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ATTORNEY AT LAW  
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STEPHEN A. GRAYTON  
ATTORNEY AT LAW  
1819  
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Assistant Commissioner of Patents  
One Hundred Eighty  
C10700597940504010679

Check #1819 Paid :06/13/2002 \$180.00

STEPHEN A. GRAYTON  
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5/24/02  
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C10700597940504010679

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STEPHEN A. GRAYTON  
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May 17, 2002  
Assistant Commissioner of Patents  
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STEPHEN A. GRAYTON  
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STEPHEN A. GRAYTON  
ATTORNEY AT LAW  
1830  
5/28/2002  
Assistant Commissioner of Patents  
\$84.00  
C10700597940504010679

Check #1830 Paid :06/14/2002 \$84.00

STEPHEN A. GRAYTON  
ATTORNEY AT LAW  
38,425.69  
May 29, 2002  
CASH  
38,425.69  
C10700597940504010679

Deposited On :05/29/2002 \$38425.69

STEPHEN A. GRAYTON  
ATTORNEY AT LAW  
1823  
5/29/02  
Assistant Commissioner of Patents  
Two Thousand  
C10700597940504010679

Check #1823 Paid :05/30/2002 \$2000.00

STEPHEN A. GRAYTON  
ATTORNEY AT LAW  
1832  
5/29/2002  
Assistant Commissioner of Patents  
\$896.00  
C10700597940504010679

Check #1832 Paid :06/06/2002 \$896.00

STEPHEN A. GRAYTON  
ATTORNEY AT LAW  
38,425.69  
May 29, 2002  
CASH  
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Deposited On :05/29/2002 \$38425.69

STEPHEN A. GRAYTON  
ATTORNEY AT LAW  
1824  
May 21, 2002  
ABACUS FINANCIAL  
\$1,367.00  
C10700597940504010679

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STEPHEN A. GRAYTON  
ATTORNEY AT LAW  
1833  
5/29/2002  
Assistant Commissioner of Patents  
\$180.00  
C10700597940504010679

Check #1833 Paid :06/14/2002 \$180.00

STEPHEN A. GRAYTON  
ATTORNEY AT LAW  
1818  
May 9, 2002  
Assistant Commissioner of Patents  
\$1295.00  
C10700597940504010679

Check #1816 Paid :05/23/2002 \$1295.00

STEPHEN A. GRAYTON  
ATTORNEY AT LAW  
1826  
May 23, 2002  
Assistant Commissioner of Patents  
\$180.00  
C10700597940504010679

Check #1826 Paid :06/13/2002 \$180.00

STEPHEN A. GRAYTON  
ATTORNEY AT LAW  
1834  
5/28/02  
First USA  
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C10700597940504010679

Check #1834 Paid :06/05/2002 \$195.89

STEPHEN A. GRAYTON  
ATTORNEY AT LAW  
1818  
May 13, 2002  
Assistant Commissioner of Patents  
\$1295.00  
C10700597940504010679

Check #1818 Paid :06/05/2002 \$1295.00

STEPHEN A. GRAYTON  
ATTORNEY AT LAW  
1827  
May 24, 2002  
Assistant Commissioner of Patents  
\$1295.00  
C10700597940504010679

Check #1827 Paid :06/12/2002 \$1295.00

STEPHEN A. GRAYTON  
ATTORNEY AT LAW  
1835  
5/28/02  
First USA  
\$135.55  
C10700597940504010679

Check #1835 Paid :06/07/2002 \$135.55